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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/588,509	08/04/2006	Ryo Aburatani	293634US0PCT	2080	
22850 7590 11/20/2007 OBLON, SPIVAK, MCCLELLAND MAIER & NEUSTADT, P.C. 1940 DUKE STREET			EXAMINER		
			CHOI, LING SIU		
ALEXANDRIA, VA 22314			ART UNIT	PAPER NUMBER	
		•	1796		
			NOTIFICATION DATE	DELIVERY MODE	
			11/20/2007	ELECTRONIC	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

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	Application No.	Applicant(s)			
	10/588,509	ABURATANI ET AL.			
Office Action Summary	Examiner	Art Unit			
	Ling-Siu Choi	1796			
The MAILING DATE of this communication app					
Period for Reply		·			
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period was realized to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION  36(a). In no event, however, may a reply will apply and will expire SIX (6) MONTHS cause the application to become ABAND	FION. be timely filed from the mailing date of this communication. DONED (35 U.S.C. § 133).			
Status					
1) Responsive to communication(s) filed on					
2a) This action is <b>FINAL</b> . 2b) ⊠ This	This action is <b>FINAL</b> . 2b)⊠ This action is non-final.				
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is					
closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.					
Disposition of Claims					
4) ☐ Claim(s) 1-7 is/are pending in the application. 4a) Of the above claim(s) is/are withdray 5) ☐ Claim(s) is/are allowed. 6) ☐ Claim(s) 1-7 is/are rejected. 7) ☐ Claim(s) is/are objected to. 8) ☐ Claim(s) are subject to restriction and/o	,				
Application Papers					
9) The specification is objected to by the Examine 10) The drawing(s) filed on 04 August 2006 is/are:  Applicant may not request that any objection to the Replacement drawing sheet(s) including the correct 11) The oath or declaration is objected to by the Examine	a) accepted or b) object drawing(s) be held in abeyance. ion is required if the drawing(s)	See 37 CFR 1.85(a). is objected to. See 37 CFR 1.121(d).			
Priority under 35 U.S.C. § 119					
12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) ☐ All b) ☐ Some * c) ☐ None of:  1. ☐ Certified copies of the priority documents have been received.  2. ☐ Certified copies of the priority documents have been received in Application No  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.					
Attachment(s)  1) ☑ Notice of References Cited (PTO-892)  2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) ☑ Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date 08/04/2006.	Paper No(s)/M	mary (PTO-413) lail Date mal Patent Application			

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#### **DETAILED ACTION**

1. Claims 1-7 are now pending, wherein claim 1-5 are drawn to a modified diene- $\alpha$ -olefin copolymer and claims 6-7 are drawn to a method to produce the modified diene- $\alpha$ -olefin copolymer.

### Claim Analysis

## 2. Summary of claim 1:

A modified diene-a-olefin copolymer which is represented by				
$R^{1}$ - [CH( $R^{3}$ )-CH=CH-CH <sub>2</sub> ] <sub>i</sub> - [CH <sub>2</sub> -CH(CH=CH $R^{3}$ )] <sub>m</sub> - [CH <sub>2</sub> -CH( $R^{4}$ )( $R^{6}$ )] <sub>n</sub> - $R^{2}$				
and has H <sub>2</sub> C=C(R <sup>5</sup> )-COO- in at least a part of the ends,				
R <sup>1</sup> and R <sup>2</sup>	independently a <b>hydroxyl group</b> or <b>H₂C=C(R⁵)-COO-</b>			
R³s, R⁵s, and R <sup>6</sup> s	independently a hydrogen atom or an alkyl group having 1 to I0 carbon atoms			
R⁴s	independently a <b>phenyl group</b> , a pyridyl group, a chlorine atom, a cyclohexyl group, or a carbonyloxyalkyl group			
I, m, and n	the number of repetition.			

### Claim Rejections - 35 USC § 102

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

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A person shall be entitled to a patent unless – (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

4. Claim 1-7 are rejected under 35 U.S.C. 102(b) as being anticipated by Selley (US 4,041,104).

Selley discloses a hydroxyl-terminated copolymer prepared by polymerization of one or more conjugated dienes having C<sub>4-8</sub> such as 1,3-butadiene with up to about 40 mol % of one or more vinyl monomers such as styrene by a free radical initiated polymerization in the presence of ethylene oxide which lead to forming terminated hydroxyl groups, wherein the hydroxyl-terminated copolymer has a molecular weight of about 500 to about 10,000 (col. 3, lines 6-13, 32-44). Selley further disclose that "those polydienes (or copolymers) resulting from predominantly 1,4- addition polzmerization. A minor amount of 1,2- addition product, wherein the unsaturation is present as pendent vinyl groups" (col. 2, line 68; col. 3, lines 1-2). Selley furthermore "[a] wide variety of ......or methacrylates may be employed to provide terminal acrylate groups..." (col. 3, lines 58-60). Thus, the present claims are anticipated by the disclosure of Selley.

#### Conclusion

5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Ling-Siu Choi whose telephone number is 571-272-1098.

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If attempt to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David Wu, can be reached on 571-272-1114.

Lis Chi

LING-SUI CHOI PRIMARY EXAMINER

November 10, 2007